



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/761,923	01/20/2004	Mehran Mokhtari	B-4801NP 621673-0	2158

65050 7590 03/16/2009  
HRL LABORATORIES, LLC  
3011 MALIBU CANYON RD.  
MALIBU, CA 90265

EXAMINER
----------

NGUYEN, LEON VIET Q

ART UNIT	PAPER NUMBER
----------	--------------

2611

MAIL DATE	DELIVERY MODE
-----------	---------------

03/16/2009

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/761,923	<b>Applicant(s)</b> MOKHTARI ET AL.	
	<b>Examiner</b> LEON-VIET Q. NGUYEN	<b>Art Unit</b> 2611	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 14 January 2009.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) See Continuation Sheet is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1, 2, 4-6, 13, 18, 23, 24, 26-28, 35, 40, 45, 46, 48-50, 57, 62, 64-72 and 78-81 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 20 January 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

Continuation of Disposition of Claims: Claims pending in the application are 1,2,4-6,13,18,23,24,26-28,35,40,45,46,48-50,57,62,64-72 and 78-81.

### DETAILED ACTION

1. This office action is in response to communication filed on 1/14/09. Claims 12, 34, 56 have been cancelled. Claims 1, 2, 4-6, 13, 18, 23, 24, 26-28, 35, 40, 45, 46, 48-50, 57, 62, 64-72 and 78-81 are pending on this application.

1. The indicated allowability of claims 12, 13, 34, 35, 56, 57, and 65 is withdrawn in view of the newly discovered reference(s) to Toman (US4037173). Rejections based on the newly cited reference(s) follow.

### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

**2. Claims 1, 2, 4, 18, 23, 24, 26, 40, 45, 46, 48, 62 and 66 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gerdes et al (US4989219) in view of Toman (US4037173).**

Re claim 1, Gerdes teaches a communication system for transmitting and receiving digital data comprising:

a transmitter (col. 2 lines 52-57, it is well known in the art that modulation occurs in the transmitting portion of a system. Therefore it would be necessary to have a

Art Unit: 2611

transmitter to transmit the modulated signals) transmitting one or more gated carrier waves gated (col. 2 lines 39-46) by said digital data (col. 2 lines 57-63) and

a receiver (col. 2 lines 64-66, it is well known in the art that demodulation occurs in the receiving section of a system. Therefore it would be necessary to have a receiver to receiver the modulated signals) detecting at least one gated carrier wave of the one or more gated carrier waves (it would be obvious to one of ordinary skill in the art that a carrier wave be detected before demodulation),

wherein said receiver determines a state of said digital data by counting cycles of the at least one gated carrier wave of the one or more gated carrier waves (col. 1 lines 58-62, it would be obvious to one of ordinary skill in the art that a receiver would demodulate the carrier signal to obtain information representative of the logic states. This information would be obtained by counting every half cycle).

Gerdes fails to teach wherein the carrier waves are digitally gated and wherein the presence of a specified number of cycles in said at least one digitally gated carrier wave indicates a first state of said digital data and the absence of a specified number of cycles in said at least one digitally gated carrier wave indicates a second state of said digital data and said receiver comprises digital counting circuitry counting the number of cycles present in and counting the number of cycles absent from said at least one digitally gated carrier wave.

However Toman teaches digitally gating a radio frequency energy or signal (col. 2 lines 24-29), which is interpreted to be the carrier wave. Toman also teaches wherein

Art Unit: 2611

the presence of a specified number of cycles (fixed intervals 85 and 85A in fig. 2) in said at least one digitally gated carrier wave (localizer signal 81 in fig. 2) indicates a first state of said digital data (fig. 2, the fixed intervals are the radio carrier frequency bursts) and the absence of a specified number of cycles (Off 88 in fig. 2) in said at least one digitally gated carrier wave (localizer signal 81 in fig. 2) indicates a second state of said digital data (fig. 2, an "Off" state) and said receiver comprises digital counting circuitry counting the number of cycles present in and counting the number of cycles absent from said at least one digitally gated carrier wave (counters 10, 12, and 14 in fig. 2, col. 7 lines 42-59).

Therefore taking the combined teachings of Gerdes and Toman as a whole, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the digital gating of Toman into the system of Gerdes. The motivation to combine Gerdes and Toman would be to provide an improved and economical apparatus for generating two different modulated carriers (col. 1 lines 43-46).

Re claim 2, the modified invention of Gerdes teaches a communication system wherein at least one digitally gated carrier wave (col. 2 lines 24-29 of Toman) has a frequency in the less than microwave, microwave (col. 4 lines 54-57 of Toman), or millimeter wave spectrum and is radiated in free space from said transmitter to said

Art Unit: 2611

receiver (it would be obvious to radiate the signal in free space since an aircraft flies in free space).

Re claim 4, the modified invention of Gerdes teaches a communication system where said transmitter comprises:

a carrier wave generator (sinusoidal  $2f$  carrier in fig. 2 of Gerdes, it would be necessary to have a generator to generate the sinusoidal carrier wave); and

a digital gating device (col. 2 lines 24-29 of Toman) coupled to said carrier wave generator (col. 2 lines 39-46 of Gerdes, it would be obvious that the wave generator and gating device would be coupled together since the carrier signal is gated) and controlled by said digital data (col. 2 lines 18-24 and lines 29-36 of Toman, the digital data stored in the registers),

said digital gating device gating a carrier wave from said carrier wave generator on and off according to a state of the digital data (abstract of Toman, col. 1 line 67 – col. 2 lines 6 of Toman).

Re claim 18, the modified invention of Gerdes teaches a communication system wherein said transmitter selectably generates said at least one digitally gated carrier wave at selectable radio frequencies (col. 4 lines 49-61 of Gerdes).

Re claim 23, the claimed limitations recited have been analyzed and rejected with respect to claim 1. It would be obvious and necessary to have a method of using the apparatus as claimed in claim 1.

Re claim 24, the claimed limitations recited have been analyzed and rejected with respect to claim 2.

Re claim 26, the claimed limitations recited have been analyzed and rejected with respect to claim 4.

Re claim 40, the claimed limitations recited have been analyzed and rejected with respect to claim 18.

Re claim 45, the claimed limitations recited have been analyzed and rejected with respect to claim 1.



Art Unit: 2611

Re claim 46, the claimed limitations recited have been analyzed and rejected with respect to claim 2.

Re claim 48, the claimed limitations recited have been analyzed and rejected with respect to claim 4.

Re claim 62, the claimed limitations recited have been analyzed and rejected with respect to claim 18.

Re claim 66, the modified invention of Gerdes teaches an apparatus wherein means for transmitting comprises means for selecting one or more selectable radio frequencies for said at least one gated carrier wave based on a desired coding (col. 1 lines 59-66 of Toman, the various modulation levels for each of said at least two radio carriers. Coding and modulation are interpreted to be the same).

**3. Claims 5, 27, and 49 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gerdes et al (US4989219) and Toman (US4037173) in view of Yousefi et al (US6957078).**

Re claim 5, the modified invention of Gerdes fails to teach a communication system wherein said transmitter further comprises a power amplifier disposed at said output of said digital gating device and coupled to at least one transmit antenna.

However Yousefi teaches a communication system wherein said transmitter further comprises a power amplifier (abstract, TWTA 210 in fig. 2) disposed at said output of said digital gating device (modulator 206 in fig. 2 which is further described in fig. 3, col. 4 lines 1-2) and coupled to at least one transmit antenna (antennas 112 and 114 in fig. 2).

Therefore taking the modified teachings of Gerdes and Toman with Yousefi as a whole, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the power amplifier of Yousefi into the system of Gerdes and Toman. The motivation to combine Yousefi, Gerdes and Toman would be to provide more efficient use of downlink, satellite power, and satellite processing (col. 6 lines 8-15). Furthermore, it is well known in the art that amplifiers are used to increase the signal strength.

Re claim 27, the claimed limitations recited have been analyzed and rejected with respect to claim 5.

Re claim 49, the claimed limitations recited have been analyzed and rejected with respect to claim 5.

Art Unit: 2611

**4. Claims 6, 28, and 50 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gerdes et al (US4989219), Toman (US4037173) and Yousefi et al (US6957078) in view of Staszewski et al (US20020186782).**

Re claim 6, the modified invention of Gerdes fails to teach a communication system wherein said power amplifier is operated in a non-linear region of operation.

However Staszewski teaches a communication system wherein said power amplifier is operated in a non-linear region of operation (¶0041).

Therefore taking the modified teachings of Gerdes, Toman, and Yousefi with Staszewski as a whole, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the features of Staszewski into the system of Gerdes, Yousefi and Toman. The motivation to combine Staszewski, Yousefi, Gerdes and Toman would be to provide more amplitude control (¶0041).

Re claim 28, the claimed limitations recited have been analyzed and rejected with respect to claim 6.

Re claim 50, the claimed limitations recited have been analyzed and rejected with respect to claim 6.

**5. Claims 13, 35, and 57 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gerdes et al (US4989219) and Toman (US4037173) in view of Mohindra (US6922555).**

Art Unit: 2611

Re claim 13, the modified invention of Toman fails to teach a communication system wherein said receiver additionally comprises:

- a receive antenna;
- a low noise amplifier coupled to said receive antenna;
- a limiter circuit coupled to an output of said low noise amplifier and providing an output to said digital counting circuitry; and
- a digital signal processor receiving an output from said digital counting circuitry.

However Mohindra teaches a receive antenna (antenna 3 in fig. 1);  
a low noise amplifier coupled to said receive antenna (LNA 4 in fig. 1);  
a limiter circuit coupled to an output of said low noise amplifier (limiter 8 in fig. 1) and providing an output to said digital counting circuitry (interpolation filters in fig. 1, which include an accumulator that is actually a counter that gives a digital output, col. 7 lines 17-26); and  
a digital signal processor receiving an output from said digital counting circuitry (demodulator 11 in fig. 1).

Therefore taking the modified teachings of Gerdes and Toman with Mohindra as a whole, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the feature of Mohindra into the system of Gerdes and Toman. The motivation to combine Mohindra, Gerdes and Toman would be to give accurate and continuous modulation phase at zero IF (col. 3 lines 12-15 of Mohindra).

Re claim 35, the claimed limitations recited have been analyzed and rejected with respect to claim 13.

Re claim 57, the claimed limitations recited have been analyzed and rejected with respect to claim 13.

**6. Claim 64 is rejected under 35 U.S.C. 103(a) as being unpatentable over Gerdes et al (US4989219) and Toman (US4037173) in view White et al (US20010005145).**

Re claim 64, the modified invention of Gerdes fails to teach an apparatus wherein said at least one gated carrier wave is generated at selectable optical and/or selectable radio frequencies and said means for receiving comprises:

means for receiving a radiated electrical signal, said means for receiving a radiated electrical signal said at least one gated carrier wave at radio frequencies.

However White teaches means for receiving a radiated electrical signal (¶0019 and ¶0047, the electromagnetic radiation receiver) , said means for receiving a radiated electrical signal said at least carrier wave at radio frequencies (¶0047, the electrical signal is modulated onto a RF carrier wave).

Therefore taking the modified teachings of Gerdes and Toman with White as a whole, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the features of White into the system of Gerdes and Toman. The motivation to combine White, Gerdes and Toman would be to improved testability of a circuit (§0011).

**7. Claim 65 is rejected under 35 U.S.C. 103(a) as being unpatentable over Gerdes et al (US4989219) and Toman (US4037173) in view of MacLellan et al (US6456668).**

Re claim 65, the modified invention of Gerdes fails to teach an apparatus wherein said means for receiving additional comprises a diode detector coupled to said means for receiving a radiated electrical signal, wherein said diode detector produces a baseband digital on/off bit format signal.

However MacLellan teaches a diode detector coupled to said means for receiving a radiated electrical signal (modulator 302 in fig. 3, col. 3 lines 10-18), wherein said diode detector produces a baseband digital on/off bit format signal (col. 3 lines 46-52).

Therefore taking the modified teachings of Gerdes and Toman with MacLellan as a whole, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the features of MacLellan into the system of Gerdes and Toman. The motivation to combine MacLellan, Gerdes and Toman would be to minimize losses of the radio signal (col. 3 lines 10-16 of MacLellan).

**8. Claims 67 and 78 are rejected under 35 U.S.C. 103(a) as being unpatentable over Luhman et al (US20040223557) in view of Ainsworth (US5245630).**

Re claim 67, Luhman teaches a digital transmitter for transmitting digital data comprising:

a carrier generator providing one or more carrier signals at selected frequencies (¶0031, although not explicitly taught it would be necessary to have a generator to generate a carrier wave);

a data edge synchronizer coupled to said serial stream of digital bits and receiving at least one carrier signal of said one or more carrier signals (¶0031-¶0032, the clock signal is interpreted to represent the cycles of the carrier wave. It would be obvious to have a synchronizer to perform the synchronization), said data edge synchronizer producing a synchronized stream of digital bits (¶0031, the data bit stream is synchronized to the clock signal), wherein at least one edge of each digital bit in said synchronized stream of digital bits is synchronized to a specified part of each cycle within said at least one carrier signal (¶0031-¶0032, the data and clock signals are synchronized to each other); and

a gating circuit (amplifier 124 in fig. 9 acts as a gate, ¶0050) gating at least one carrier signal of said one or more carrier signals according to each digital bit in said synchronized stream of digital bits (¶0050, amplifier 124 outputs or does not output a signal according to bits 00-11).

Art Unit: 2611

Luhman fails to teach a serializer coupled to said digital data and producing a serial stream of digital bits. However Ainsworth teaches a serializer coupled to said digital data and producing a serial stream of digital bits (col. 2 lines 19-27).

Therefore taking the combined teachings of Luhman and Ainsworth as a whole, it would have been obvious to one of ordinary skill in the art at the time the was made to incorporate the serializer of Ainsworth into the transmitter of Luhman. The motivation to combine Ainsworth and Luhman would be provide serial data which is well known to travel over further distances than parallel data.

Re claim 78, the claimed limitations recited have been analyzed and rejected with respect to claim 67.

**9. Claims 68, 69, and 79 are rejected under 35 U.S.C. 103(a) as being unpatentable over Luhman et al (US20040223557) and Ainsworth (US5245630) in view of Cheng (US4789838).**

Re claim 68, the modified invention of Luhman fails to teach a digital transmitter wherein said data edge synchronizer comprises:

one or more wide-band limiting amplifiers coupled to said serial stream of digital bits; and

a flip-flop coupled to an output of said one or more wide-band limiting amplifiers.



However Cheng teaches one or more wide-band limiting amplifiers (wideband amplifier 10 in fig. 1) and a flip-flop coupled to an output of said one or more wide-band limiting amplifiers (flip/flop 18 in fig. 1).

Therefore taking the modified teachings of Luhman and Ainsworth with Cheng as a whole, it would be obvious to one of ordinary skill in the art at the time the invention was made to incorporate the features of Cheng into the transmitter of Luhman and Ainsworth. The motivation to combine Cheng, Ainsworth, and Luhman would be to prevent multi-triggering (col. 2 lines 22-25).

Re claim 69, the modified invention of Luhman teaches a digital transmitter wherein said flip-flop comprises a latch or D flip-flop (D flip/flop 18 in fig. 1 of Cheng).

Re claim 79, the claimed limitations recited have been analyzed and rejected with respect to claims 68 and 69.

**10. Claims 70-72, 80 and 81 are rejected under 35 U.S.C. 103(a) as being unpatentable over Luhman et al (US20040223557) and Ainsworth (US5245630) in view of Toman (US4037173).**

Art Unit: 2611

Re claim 70, the modified invention of Luhman fails to teach a digital transmitter wherein said gating circuit gates said at least one carrier signal on and off.

However Toman teaches a gating circuit wherein the gating circuit gates at least one carrier signal on and off (abstract).

Therefore taking the modified teachings of Luhman and Ainsworth with Toman as a whole, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the digital gating of Toman into the transmitter of Luhman and Ainsworth. The motivation to combine Luhman, Ainsworth and Toman would be to provide an improved and economical apparatus for generating two different modulated carriers (col. 1 lines 43-46).

Re claim 71, the modified invention of Luhman fails to teach a digital transmitter wherein said gating circuit selects one carrier signal of said one or more carrier signals and gates the selected carrier signal.

However Toman teaches wherein said gating circuit selects one carrier signal of said one or more carrier signals and gates the selected carrier signal (abstract, col. 2 lines 24-42, it would be obvious to gate the carrier that is on).

Therefore taking the modified teachings of Luhman and Ainsworth with Toman as a whole, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the digital gating of Toman into the transmitter of Luhman and Ainsworth. The motivation to combine Luhman, Ainsworth and Toman

Art Unit: 2611

would be to provide an improved and economical apparatus for generating two different modulated carriers (col. 1 lines 43-46).

Re claim 72, the modified invention of Luhman teaches a digital transmitter wherein said gating circuit (buffer 120 in fig. 9 of Luhman acts as a gate, ¶0049 of Luhman) gates said at least one carrier signal according to each digital bit in said synchronized stream of digital bits (¶0045 and ¶0049 of Luhman, the FIFO buffer gates the synchronized output from flip-flops 116 and 118 in fig. 9 of Luhman). The modified invention fails to teach where the circuit gates according to a specified code sequence.

However Toman teaches wherein said gating circuit gates according to a specified code sequence (col. 2 lines 24-32, the sample point value is interpreted to be a code sequence).

Therefore taking the modified teachings of Luhman and Ainsworth with Toman as a whole, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the digital gating of Toman into the transmitter of Luhman and Ainsworth. The motivation to combine Luhman, Ainsworth and Toman would be to provide an improved and economical apparatus for generating two different modulated carriers (col. 1 lines 43-46).

Re claim 80, the claimed limitations recited have been analyzed and rejected with respect to claim 71.

Re claim 81, the claimed limitations recited have been analyzed and rejected with respect to claim 72.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to LEON-VIET Q. NGUYEN whose telephone number is (571)270-1185. The examiner can normally be reached on Monday-Friday, alternate Friday off, 7:30AM-5PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David C. Payne can be reached on 571-272-3024. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Application/Control Number: 10/761,923

Page 19

Art Unit: 2611

/Leon-Viet Q Nguyen/  
Examiner, Art Unit 2611

**/David C. Payne/  
Supervisory Patent Examiner, Art Unit 2611**